



Serial No.: 09/898,150

AF/2655
PATENT
PD000032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dietmar Uhde et al.
Serial No. : 09/898,150
Filed : July 3, 2001
For : METHOD FOR QUICKLY PRODUCING READ AND/OR WRITE
READINESS OF AN APPARATUS FOR READING FROM AND/OR
WRITING TO AN OPTICAL RECORDING MEDIUM; AND
CORRESPONDINGLY CONFIGURED APPARATUS
Examiner : Jorge L. Ortiz Criado
Art Unit : 2655

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Technology Center 2600

- [] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- [] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- [x] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- [] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[XX] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [x] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [] 8 The required certification made in item 11 below; or
- [x] 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [] 10 37 CFR§ 1.97(d): [before issue fee payment]; and
 - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
 - (b) The required Certification is stated in item 11 below.

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[] 11 Certification

- [] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,
DIETMAR UHDE ET AL.

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Patent Operations
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DATE: August 6, 2004

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: August 6, 2004 Signature Karen Schlauch

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 <small>(Use several sheets if necessary)</small>				ATTY. DOCKET NO. PD000032		SERIAL NO. 09/898,150	
				APPLICANTS Dietmar Uhde et al.			
				FILING DATE July 3, 2001		GROUP 2655	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
AA	5,502,702	3/26/96	Nakajo				
AB							
AC							
AD							
AE							
AF							
AG						RECEIVED	
AH						AUG 12 2004	
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION Yes No	
AI	0442566	8/21/91	EPO				
AJ	2,332,977	7/7/99	Great Britain				
AK							
AL							
AM							
AN							
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
AO	Search Report for European Patent Application No. 01401716 dated December 3, 2002						
AP							
AQ							
EXAMINER				DATE CONSIDERED			
SUBMITTED BY: <i>Francis A. Davenport</i> Francis A. Davenport REG. NO.: 36,316 DATE: August 6, 2004							

